



Attorney Docket No.: 361007-000012

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Yogendra Joshi, Sunil S. Murthy, and Wataru Nakayama

Application No.: 09/828,564

Filed: April 6, 2001

For: Orientation-Independent Thermosyphon Heat Spreader

Box: Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**COMPLETION OF FILING REQUIREMENTS**

1. This replies to the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b) mailed on June 4, 2001.

A copy of the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b) is enclosed.

**DECLARATION OR OATH**

2. An declaration was filed with the application, but was signed only by inventor Joshi and was lacking signatures by inventors Murthy and Nakayama. Enclosed is a declaration for this application that includes the signatures of all three inventors.

**POWER OF ATTORNEY**

3. Signed Power of Attorney forms are enclosed for inventors Murthy and Nakayama. A signed Power of Attorney form for inventor Joshi was filed with the application.

**COMPLETION FEES**

4. Filing fee

Utility patent application (37 CFR 1.16(a))--\$710.00;  
small entity--\$3555.00)

\$ \_\_\_\_\_

Design application (37 CFR 1.16(f))--\$320.00; small  
entity--\$160.00)

\$ \_\_\_\_\_

Fees for claims

Independent claims in excess of three (\$80.00;  
small entity--\$40.00) \$ \_\_\_\_\_

Claims in excess of twenty (\$18.00;  
small entity--\$9.00) \$ \_\_\_\_\_

Multiple dependent claims (\$270.00;  
small entity--\$135.00) \$ \_\_\_\_\_

Surcharge fees

Late filing of original declaration or oath  
(37 CFR 1.16(e)--\$130.00; small entity--\$65.00); \$ 130.00

Petition and fee for filing by other than all the inventors  
or a person not the inventor (37 CFR 1.17(h) and 1.47--\$130.00) \$ \_\_\_\_\_

Fee for processing an application filed with a  
specification in a non-English language (37 CFR 1.17(k)  
and 1.52(d)--\$130.00) \$ \_\_\_\_\_

Fee for processing and retention of application  
(37 CFR 1.21(l) and 1.53(d)--\$300.00) \$ \_\_\_\_\_

**Total Completion Fees** \$ 130.00

5. Payment of Fees: Enclosed is a check for \$130.00.

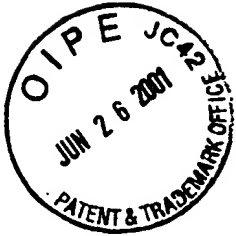
**AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT**

6. The Commissioner is hereby authorized to charge additional fees which may be required by this paper and during the pendency of this application to Deposit Account No. 13-4365.

  
(SIGNATURE OF ATTORNEY)

Tel No.: (919) 286-8000  
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Matthew W. Witsil  
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Durham, North Carolina 27702-3843



Attorney Docket No. 361007-12

### EXPRESS MAIL CERTIFICATE

EXPRESS MAIL LABEL NO.: ET513244359US

Date of Deposit: June 26, 2001

Applicant: Dr. Yogendra Joshi, et al.

For: "Orientation-Independent Thermosyphon Heat Spreader"

I hereby certify that the following documents:

Express Mail Certificate, and

1. Notice to File Missing Parts of Non-Provisional Application;
2. Transmittal Letter;
3. Declaration For Utility or Design Patent Application (37 CFR 1.63);
4. Power of Attorney or Authorization of Agent (Wataru);
5. Power of Attorney or Authorization of Agent (Murthy);
6. Check in the amount of \$130.00;
7. Express Mail Certificate; and
8. Acknowledgment postcard.

are being deposited in a single envelope with the United States Postal Services "Express Mail" service under 37 C.F.R. 1.10 on the date indicated above and such envelope is addressed to Commissioner for Patents, Box: Missing Parts, Washington, D.C. 20231.

Kim Oakley  
(Name of Person Mailing Documents)

K. Oakley  
(Signature of person mailing documents)

Seetor  
\$

06-27-01

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,564	04/06/2001	Yogendra Joshi	361007-000012

24239  
MOORE & VAN ALLEN, PLLC  
2200 W MAIN STREET  
SUITE 800  
DURHAM, NC 27705

CONFIRMATION NO. 6497

## FORMALITIES LETTER



\*OC000000006142086\*

Date Mailed: 06/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Sunil S. Murthy and Wataru Nakayama*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice **MUST** be returned with the reply.*

MTB  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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